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ADVANCED SECURITIES REGULATION
1989 - 90

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UNIVERSITY OF TORONTO
FACULTY OF LAW

ADVANCED SECURITIES REGULATION
1989-90

WILLIAM K. ORR
RENE R. SORELL

TABLE OF CONTENTS

1. (a) Course Outline
   (b) Course Description - Format and Evaluation

2. Drinkwater, Orr and Sorell: "An Overview of Canadian Securities Regulation"

3. Question 1 - Take-over bids - pre-bid accumulations
   - Enforcement initiatives

4. Question 2 - Insider Trading

5. Question 3 - The Closed System, Discretionary Rulings

6. Question 4 - Management's Discussion and Analysis
   - Prospectus disclosure

7. Question 5 - Valuations, Fairness Opinions

8. Question 6 - Registration
   - Conflicts of Interest

University of Toronto, Faculty of Law

Advanced Securities Regulation - 1989-90

COURSE OUTLINE

January 8	Introduction
January 15	An Overview of Securities Regulation
January 22	Question 1 - Take-over bids - pre-bid accumulations - Enforcement initiatives
January 29	Question 1 - continued
February 5	Question 2 - Insider Trading
February 12	Question 2 - continued
February 19	Question 3 - The Closed System, Discretionary Rulings
March 4	Question 3 - continued
March 12	Question 4 - Managagement's Discussion and Analysis, Prospectus Disclosure
March 19	Question 4 - continued
March 26	Question 5 - Valuations, Fairness Opinions
April 2	Question 5 - continued
April 9	Question 6 - Registration, Conflicts of Interest

COURSE DESCRIPTION

1. The classes and the materials are arranged on a problem format. Each week, in accordance with the schedule set forth in the course outline, a problem in the area of securities regulation will be discussed by the members of the class.

2. Students will be expected to have reviewed the relevent provisions of the Securities Act (Ontario), the Regulations thereunder, any National, Uniform Act or Ontario Securities Commission Policy Statements and other precedential and background material which may be appropriate in reaching a solution to the problem under discussion. Detailed research by those taking primary responsibility for each problem will be expected of students.

3. A participation schedule will be distributed in the first two classes. The schedule contemplates a group of students taking primary responsibility in respect of each of the problems.

4. Each student must assign himself or herself to at least one of the problems prior to January 22, 1990. It is particularly important that the students who wish to be assigned to Problem One volunteer as soon as possible.

5. The instructors will allocate each student to one other problem in addition to the problem selected by the student. Every attempt will be made to ensure that students work with a different group of people on each of the problems to which the student is assigned. The instructors also intend to request students to take a leading role in respect of each problem in asking questions of those making the class presentation.

6. In respect of each of the two problems to which a student is assigned, the student will be responsible, with the other students assigned to that problem, for class presentation of the problem and a single written response to the problem.

7. The students assigned to a problem will be expected to work together on the written response. The written response to each problem should be submitted within two weeks of the class presentation in respect of that problem.

8. Student evaluation for the Advanced Securities Regulation course will be based on two factors. 20% of the final mark will be based on class participation. 80% of the course evaluation will be based on the written response to the two problems to which each student has been assigned.